**开放式基金其他业务申请表**

**南方基金管理有限公司提醒您在填写本表前详细阅读本公司所管理的开放式基金的《基金合同》及最新招募说明书。**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| 1. **客户资料** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 申请人 |  | | | | | | | | | | | | | | | | | | | | 证件类型及号码 | | | | | |  | | | | | | | | | | |
| 经办人 |  | | | | | | | | | | | | | | | | | | | | 经办人证件类型及号码 | | | | | |  | | | | | | | | | | |
| 基金账号 |  | | | |  |  |  |  |  |  |  | |  |  | |  | |  | | | 联系电话 | | | | | |  | | | | | | | | | | |
| 1. **申请类型** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 转托管 □（请填写第①部分） 更改分红方式□（请填写第②部分） 申购/赎回撤单□（请填写第③部分） | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 非交易过户□（请填写第④部分） 封转开确权 □（请填写第⑤部分） 修改密码 □ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **① 转托管(非中登类基金)** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 基金名称 | |  | | | | | | | | | | | 基金代码 | | | | | | | |  | | 转托管类型 | | | | | | 转出 □ 转入 □ | | | | | | | | |
| 转托管份额 | | 份 | | | | | | | | | | | 原转出申请单编号（转入时） | | | | | | | | | |  | | | | | | | | | | | | | | |
| **转托管(中登类基金)** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 基金名称 | |  | | | | | | | | | | | | | 转入销售商 / 券商场内营业部名称 | | | | | | | | | | | | | | |  | | | | | | | |
| 基金代码 | |  | | | | | | | | | | | | | 转入销售商代码 / 券商场内席位号 | | | | | | | | | | | | | | |  | | | | | | | |
| 转托管份额 | | 份 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **② 更改分红方式** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 基金名称 |  | | | | | | | | | | | 基金代码 | | | | | | | |  | | | 分红方式 | | | | | 现金分红□ 分红再投资□ | | | | | | | | | |
| 1. **交易撤单申请** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 基金名称 | | | |  | | | | | | | | 基金代码 | | | | | | | |  | | | 原交易类型 | | | | | 申购 □ 赎回 □ | | | | | | | | | |
| 原申请单编号 | | | |  | | | | | | | | | | | | | | | | | | | 基金转换 □ 转托管 □ | | | | | | | | | |
| 1. **非交易过户** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 基金名称 |  | | | | | | | | | | | 基金代码 | | | | | | |  | | | | | 基金份额 | | | | | |  | | | | | | | |
| 过户原因 | 继承□ 赠与□ 司法执行□ | | | | | | | | | | | | | | | | | | 受让人基金账号 | | | | |  |  |  |  | | |  |  |  |  |  |  |  |  |
| 受让个人投资者签字： 受让机构投资者盖章：（预留印鉴） | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **⑤ 封转开确权** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 股东帐号 | | |  | | | | | | | | | | | | | | 开户席位 | | | | | | |  | | | | | | | | | | | | | |
| 基金名称 | | |  | | | | | | | | | | | | | | 基金代码 | | | | | | |  | | | | | | | | | | | | | |
| 确权份额  （大小写） | | | 亿 仟 佰 拾 万 仟 佰 拾 点 份 | | | | | | | | | | | | | | | | | | | | | 拾 | 亿 | 仟 | 佰 | | | 拾 | 万 | 仟 | 佰 | 拾 | 个 |  |  |
|  |  |  |  | | |  |  |  |  |  |  |  |  |
| **3．客户签章** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 本单位确认所填写的上述表格内容均真实、准确、完整，属于本单位真实自主意思表示，并知悉上述信息为办理基金业务关键信息，如有变动将及时通知南方基金进行更新，如相关内容填写与实际不符或未及时变更的，本单位自愿承担一切损失及不利后果。  申请人（经办人或代办人）签字： 机构投资者盖章：（预留印鉴）  日期： 年 月 日 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1. **基金销售网点填写** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 经办 | | | | | | | | | | | | | | | | | | | | | | 复核 | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | | | | | | |

**基金管理人承诺以诚实信用、勤勉尽责的原则管理和运用基金资产，但不保证基金一定盈利，也不保证最低收益。**